

# Flatness of Families Induced By Hypersurfaces on Flag Varieties

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**Abstract.** We show the family of tangent flags to smooth quadric hypersurfaces extends to a flat family parametrized by the variety of complete quadrics. This answers a question posed by S. Kleiman.

## Introduction

Let  $\mathbf{S}$  be the variety of complete quadrics,  $\mathbf{S}^{nd}$  the open subset of non-degenerate quadrics and  $\mathbf{F}_n$  the variety of complete flags in  $\mathbf{P}^n$ . Let  $f_0 : \mathbf{S}^{nd} \rightarrow \mathbf{Hilb}(\mathbf{F}_n)$  be the morphism that assigns to each nondegenerate quadric the locus of its tangent flags. We prove the following.

**Theorem.**  $f_0$  extends to a morphism  $f : \mathbf{S} \rightarrow \mathbf{Hilb}(\mathbf{F})$ .

This answers affirmatively a question S. Kleiman asked in ([K], p.362).

Let  $\mathbf{F}_{0,n-1} \subset \mathbf{P}^n \times \check{\mathbf{P}}^n$  be the partial flag variety “point  $\in$  hyperplane”. We first show that  $\mathbf{S}$  parametrizes a flat family

$$\begin{array}{ccc} \mathbf{K} & \subset & \mathbf{S} \times \mathbf{F}_{0,n-1} \\ & \searrow & \swarrow \\ & \mathbf{S} & \end{array}$$

that restricts, over  $\mathbf{S}^{nd}$ , to the family of the graphs of the Gauss map (point  $\mapsto$  tangent hyperplane) of nondegenerate quadric hypersurfaces. The family  $\tilde{\mathbf{K}} \rightarrow \mathbf{S}$  pertinent to Kleiman’s question is obtained by pull-

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back in the fiber square,

$$\begin{array}{ccc} \tilde{\mathbf{K}} & \hookrightarrow & \mathbf{F}_n \times \mathbf{S} \\ \downarrow & & \downarrow \\ \mathbf{K} & \hookrightarrow & \mathbf{F}_{0,n-1} \times \mathbf{S}, \end{array}$$

where the vertical maps are flag bundles.

Our proof of flatness for the completed family of graphs relies on Laksov's description [L] of Semple–Tyrrell's “standard” affine open cover of  $\mathbf{S}$ .

The space of complete conics has recently reappeared as a simple instance of Kontsevich's spaces of stable maps (cf. Pandharipande [P]). It is also instrumental for the counting of rational curves on a K3 surface double cover of the plane (cf. [V1]). Complete quadric surfaces play a role in Narasimhan–Trautmann [NT] study of a compactification of a space of instanton bundles.

We also show that any flat family of hypersurfaces on Grassmann varieties induces a flat family of subschemes of the corresponding flag variety. Precisely, we have the following.

**Proposition.** *Let  $\mathbf{G}_{r,n}$  denote the grassmannian of projective subspaces of dimension  $r$  of  $\mathbf{P}^n$ . For each  $r = 0 \dots n-1$ , let  $\mathbf{W}_r \subset \mathbf{T}_r \times \mathbf{G}_{r,n}$  be the total space of a flat family of hypersurfaces in  $\mathbf{G}_{r,n}$  parametrized by a variety  $\mathbf{T}_r$ . Then*

$$\mathbf{W} := (\mathbf{W}_0 \times \dots \times \mathbf{W}_{n-1}) \times (\mathbf{T} \times \mathbf{F}_n) \longrightarrow \mathbf{T} := \mathbf{T}_0 \times \dots \times \mathbf{T}_{n-1}$$

where  $\times$  stands for fiber product over  $\mathbf{G}_{0,n} \times \dots \times \mathbf{G}_{n-1,n} \times \mathbf{T}$ , is flat.

This statement was first obtained as an earlier attempt to answer Kleiman's question. The reason we include it here is that, in one hand, the proof rests on a nice, sharp count of constants, akin to dimension estimates of Fano varieties of linear subspaces of a hypersurface (cp. Harris [JH], thm. 12.8, p.154).

On the other hand, for the specific case envisaged here, take  $\mathbf{W}_r \rightarrow \mathbf{T}_r$  to be the family defined by intersections of  $\mathbf{G}_{r,n}$  with the complete system of quadric hypersurfaces for the Plücker embedding. Recall that we have  $\mathbf{S} \subset \mathbf{T}$  (cf. Kleiman–Thorup [KT], (7.9) p.314, Laksov [L] p.375, [V], 6.3 p. 214). Now it is fun and instructive to realize that the fam-

ily  $\mathbf{W} \subset \mathbf{T} \times \mathbf{F}_n \rightarrow \mathbf{T}$  described in the proposition, does *not* restrict to the family of tangent flags. In fact, for conics ( $n = 2$ ) its fibers are of arithmetic genus 1. It yields a double structure on the graph of the Gauss map. For  $n = 3$  (and conceivably for higher  $n$ ) the fiber of  $\mathbf{W}$  over a point of  $\mathbf{S}$  representing a smooth quadric contains the tangent flag as one of its two components. (cf. §7.4 for details).

In section 1 we compute the Hilbert polynomial of the graph of the Gauss map of a general quadric. In section 2 we do the same for the subscheme defined by the initial ideal of the ideal of  $2 \times 2$  minors that cut out the diagonal subvariety of  $\mathbf{P}^n$ . In section 3 we recall Laksov's description of the standard open cover of  $\mathbf{S}$  introduced by Semple and Tyrrel. This is used in section 5 to study a torus action compatible with the family of graphs defined in section 4. The proof of the theorem is accomplished in section 6 by comparing Hilbert polynomials at the generic and special points. The final section contains the proof of the proposition and some observations for the cases  $n = 2, 3$ . Thanks are due to the referee for his help in clarifying and correcting several points.

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## 1. The tangent flag to a smooth quadric

Write  $x = (x_1, \dots, x_{n+1})$  (resp.  $y = (y_1, \dots, y_{n+1})$ ) for the vector of homogeneous coordinates in  $\mathbf{P}^n$  (resp.  $\check{\mathbf{P}}^n$ ). Let  $\mathbf{F}_{0,n-1} \subset \mathbf{P}^n \times \check{\mathbf{P}}^n$  be the incidence correspondence "point  $\in$  hyperplane". It is the zeros of the incidence section  $x \cdot y$  of  $\mathcal{O}_{\mathbf{P}^n}(1) \otimes \mathcal{O}_{\check{\mathbf{P}}^n}(1)$ .

Let  $\kappa \subset \mathbf{P}^n$  denote a smooth quadric represented by a symmetric

matrix  $a$ . The Gauss map  $\gamma: \mathcal{K} \rightarrow \check{\mathbf{P}}^n$  is given by  $x \mapsto y = x \cdot a$ . Hence we have

$$\gamma^*(\mathcal{O}_{\check{\mathbf{P}}^n}(1)) = \mathcal{O}_{\mathbf{P}^n}(1)|_{\mathcal{K}}.$$

The tangent flag  $\tilde{\mathcal{K}} \subset \mathbf{F}_n$  of  $\mathcal{K}$  is equal to the restriction of the flag bundle

$$\mathbf{F}_n \rightarrow \mathbf{F}_{0,n-1} \subset \mathbf{P}^n \times \check{\mathbf{P}}^n$$

over the graph  $\Gamma_{\mathcal{K}}$  of  $\gamma$ . Consequently, flatness of the family  $\{\tilde{\mathcal{K}}\}$  of tangent flags is equivalent to flatness of the family of graphs  $\{\Gamma_{\mathcal{K}}\}$  as long as we stay over the open set  $\mathbf{S}^{nd}$ . The family  $\{\Gamma_{\mathcal{K}}\}_{\mathcal{K} \in \mathbf{S}^{nd}}$  will be handled in §4: we will show it extends flatly over  $\mathbf{S}$ ; therefore so does  $\{\tilde{\mathcal{K}}\}_{\mathcal{K} \in \mathbf{S}^{nd}}$ .

We proceed to compute the Hilbert polynomial of the graph  $\Gamma_{\mathcal{K}}$  of the Gauss map of a general quadric hypersurface  $\mathcal{K} \subset \mathbf{P}^n$ .

**1.1 Lemma.** *Notation as above, the Hilbert polynomial  $\chi(\mathcal{O}_{\Gamma_{\mathcal{K}}}(\mathcal{L}^{\otimes t}))$  with respect to*

$$\mathcal{L} = (\mathcal{O}_{\mathbf{P}^n}(1) \otimes \mathcal{O}_{\check{\mathbf{P}}^n}(1))|_{\Gamma}$$

*is equal to*

$$\binom{2t+n}{n} - \binom{2(t-1)+n}{n}.$$

**Proof.** We have  $\mathcal{L} \cong \mathcal{O}_{\mathbf{P}^n}(2)|_{\mathcal{K}}$  under the identification  $\Gamma \cong \mathcal{K}$ . Thus we may compute

$$\begin{aligned} \chi(\mathcal{L}^{\otimes t}) &= \chi(\mathcal{O}_{\mathbf{P}^n}(2t))|_{\mathcal{K}} \\ &= \chi(\mathcal{O}_{\mathbf{P}^n}(2t)) - \chi(\mathcal{O}_{\mathbf{P}^n}(2t-2)) \\ &= \binom{2t+n}{n} - \binom{2(t-1)+n}{n}. \quad \square \end{aligned}$$

## 2. Hilbert polynomial of loci of rank 1 matrices

The image of the Segre embedding  $\mathbf{P}^n \times \mathbf{P}^n \rightarrow \mathbf{P}^N$  is the variety of matrices of rank one. The image  $\Delta$  of the diagonal  $\mathbf{P}^n \rightarrow \mathbf{P}^n \times \mathbf{P}^n \rightarrow \mathbf{P}^N$  is the subvariety of *symmetric* matrices of rank one. Its Hilbert polynomial is easily found to be given by

$$\dim(H^0(\Delta, \mathcal{O}_{\mathbf{P}^N}(t))) = \binom{2t+n}{n} \quad (1)$$

for  $t \gg 0$ . The bi-homogeneous ideal  $I_\Delta$  of the diagonal is generated by the  $2 \times 2$  minors of the matrix

$$\begin{bmatrix} x_1 & x_2 & \cdots & x_{n+1} \\ y_1 & y_2 & \cdots & y_{n+1} \end{bmatrix}. \quad (2)$$

Write

$$S = k[x_1, \dots, x_{n+1}, y_1, \dots, y_{n+1}]$$

for the polynomial ring in  $2n+2$  variables, and let  $S_{i,j}$  denote the space of bi-homogeneous polynomials of bi-degree  $(i, j)$ . We have for  $t \gg 0$

$$\dim_k S_{t,t}/(I_\Delta)_{t,t} = \binom{2t+n}{n}. \quad (3)$$

Indeed, quite generally, for a closed subscheme  $X \subseteq \mathbf{P}^m \times \mathbf{P}^n$  defined by a bi-homogeneous ideal  $I \subseteq S$  we have, by Serre's theorem (cf. Kleiman-Thorup [KTB], (4.2) p. 189),

$$H^0(X, \mathcal{O}_{\mathbf{P}^m}(i) \otimes \mathcal{O}_{\mathbf{P}^n}(j)|_X) = S_{i,j}/(I)_{i,j} \quad \text{for all } i, j \gg 0.$$

Thus (3) follows from

$$H^0(X, \mathcal{O}_{\mathbf{P}^N}(t)|_X) = H^0(X, \mathcal{O}_{\mathbf{P}^m}(t) \otimes \mathcal{O}_{\mathbf{P}^n}(t)|_X).$$

**2.1 Lemma.** *Let  $\Gamma_0$  be the subscheme of  $\mathbf{P}^n \times \check{\mathbf{P}}^n$  defined by the ideal*

$$\langle x_i y_j \mid 1 \leq i < j \leq n+1 \rangle + \langle \sum x_i y_i \rangle.$$

*Then we have*

$$\varphi_{\Gamma_0}(t) = \binom{2t+n}{n} - \binom{2(t-1)+n}{n}.$$

**Proof.** The whole point is to notice<sup>1</sup> that the  $x_i y_j$  span the ideal of initial terms of  $I_\Delta$  with respect to a suitable order. In fact, the set of  $2 \times 2$  minors of (2) is known to be a (universal) Gröbner basis for  $I_\Delta$  (see Sturmfels [BS], thm.1, p.137 or [BS1]). By (1), we may write (cf. Eisenbud [E], thm. 15.26, p.356),

$$\varphi_{in(I_\Delta)}(t) = \varphi_{I_\Delta}(t) = \binom{2t+n}{n}.$$

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<sup>1</sup> I'm indebted to P. Gimenez for his precious help on this matter.

One checks at once that  $\sum x_i y_i$  is a nonzero divisor mod the initial ideal  $in(I_\Delta)$  (see 7(i)). Therefore

$$\varphi_{\Gamma_0}(t) = \varphi_{in(I_\Delta)}(t) - \varphi_{in(I_\Delta)}(t-1). \quad \square$$

We will deduce flatness for the “completed” family of Gauss maps from the fact that the above Hilbert polynomial at the special point  $\Gamma_0$  coincides with the generic one (1.1).

### 3. Semple-Tyrrrell-Laksov cover of $\mathbf{S}$

Let  $U_n$  denote the group of lower triangular unipotent  $(n+1)$ -matrices. Thus,  $U_n$  is isomorphic to the affine space  $\mathbb{A}^{n(n+1)/2}$  with coordinate functions  $u_{i,j}$ ,  $1 \leq j \leq i-1$ ,  $i = 2 \dots n+1$ . These are thought of as entries of the matrix,

$$u = \begin{bmatrix} 1 & 0 & 0 & \cdots & 0 \\ u_{2,1} & 1 & 0 & \cdots & 0 \\ u_{3,1} & u_{3,2} & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ u_{n+1,1} & u_{n+1,2} & u_{n+1,3} & u_{n+1,n} & 1 \end{bmatrix}.$$

Let  $d_1, \dots, d_n$  be coordinate functions in  $\mathbb{A}^n$ . Put

$$d^{(1)} = \begin{bmatrix} 1 & 0 & 0 & \cdots & 0 \\ 0 & d_1 & 0 & \cdots & 0 \\ 0 & 0 & d_1 d_2 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & d_1 d_2 \cdots d_n \end{bmatrix}. \quad (4)$$

For a matrix  $A$  let its  $i$ th adjugate be the matrix  $\overset{i}{\wedge} A$  of all  $i \times i$  minors. We denote by  $d^{(i)}$  the matrix obtained from  $\overset{i}{\wedge} d^{(1)}$  by removing the

common factor  $d_1^{i-1}d_2^{i-2}\cdots d_{i-1}$ . *E.g.*, for  $n = 3$  we have

$$\begin{aligned}d^{(1)} &= \text{diag}(1, d_1, d_1d_2, d_1d_2d_3) \\d^{(2)} &= \text{diag}(d_1, d_1d_2, d_1d_2d_3, d_1^2d_2, d_1^2d_2d_3, d_1^2d_2^2d_3)/\langle d_1 \rangle \\&= \text{diag}(1, d_2, d_2d_3, d_1d_2, d_1d_2d_3, d_1d_2^2d_3) \\d^{(3)} &= \text{diag}(1, d_3, d_2d_3, d_1d_2d_3).\end{aligned}$$

The map  $\mathbf{U}_n \times \mathbb{A}^n \rightarrow \mathbf{S} \subset \prod_{i=1}^{i=n} \mathbf{P}(S_2(\bigwedge^i k^{n+1*}))$  defined by sending  $(u, d)$  to

$$(u d^{(1)} u^t, (\bigwedge^2 u) d^{(2)} \bigwedge^2 u^t, \dots, (\bigwedge^n u) d^{(n)} \bigwedge^n u^t)$$

is an isomorphism onto an affine open subset  $\mathbf{S}^0$  of  $\mathbf{S}$ . The variety of complete quadrics may be covered by translates of  $\mathbf{S}^0$  (cf. Laksov [L], p. 376-377).

Let  $\mathbf{S}_d^0 \cong \mathbf{U}_n \times \mathbb{A}_d^n$  be the principal open piece defined by  $d_1d_2\cdots\cdots d_n \neq 0$ . It maps isomorphically onto an open subvariety of  $\mathbf{S}^{nd}$ .

#### 4. Graph of the Gauss map

The variety  $\mathbf{S}^{nd}$  of nondegenerate quadrics parametrizes a flat family of graphs of Gauss maps. For a nondegenerate quadric represented by a symmetric matrix  $a \in \mathbf{S}^{nd}$  the Gauss map is given by  $x \mapsto y = x \cdot a$ . We define  $\mathbf{K}^{nd} \subset \mathbf{S}^{nd} \times \mathbf{P}^n \times \check{\mathbf{P}}^n$  by the bi-homogeneous ideal generated by the incidence relation  $x \cdot y$  together with the  $2 \times 2$  minors of the  $2 \times (n+1)$  matrix with rows  $y, x \cdot z$ , where  $z$  denotes the generic symmetric matrix. Clearly  $\mathbf{K}^{nd} \rightarrow \mathbf{S}^{nd}$  is a map of  $\mathbf{GL}_{n+1}$ -homogeneous spaces.

Now write  $a = vc^{(1)}v^t$  with  $v \in \mathbf{U}_n$ ,  $c \in \mathbb{A}_d^n$  ( $c^{(1)}$  as in (4)), and put  $x' = xv$ ,  $y' = y(v^{-1})^t$ . We have  $y = xa$  iff  $y' = x'c^{(1)}$ . Let

$$\mathbf{K}_d^0 \subset \mathbf{S}_d^0 \times \mathbf{P}^n \times \check{\mathbf{P}}^n. \quad (5)$$

be defined by  $x \cdot y$  together with the  $2 \times 2$  minors of the  $2 \times (n+1)$  matrix

$$\begin{bmatrix} x'_1 & d_1x'_2 & d_1d_2x'_3 & \cdots & d_1 \cdots d_n x'_{n+1} \\ y'_1 & y'_2 & y'_3 & \cdots & y'_{n+1} \end{bmatrix} \quad (6)$$

where we put  $x'_j = \sum_i u_{ij}x_i$  and likewise  $y'_j$  denotes the  $j$ th entry of  $y(u^{-1})^t$ . Thus  $\mathbf{K}_d^0$  is the total space of the family of Gauss maps

parametrized by  $\mathbf{S}_d^0$ . Note that  $\mathbf{K}_d^0 \rightarrow \mathbf{S}_d^0$  is a smooth quadric bundle. Its fiber over  $(I, (1, \dots, 1)) \in \mathbf{U}_n \times \mathbb{A}_d^n$  is equal to the quadric given by  $\sum x_i^2$  inside the “diagonal”  $y_1 = x_1, \dots, y_{n+1} = x_{n+1}$  of  $\mathbf{P}^n \times \check{\mathbf{P}}^n$ .

Let

$$\mathbf{K}^0 \subset \mathbf{S}^0 \times \mathbf{P}^n \times \check{\mathbf{P}}^n \quad (7)$$

be defined by  $x \cdot y$  together with the ideal

$$J = \langle x'_1 y'_2 - d_1 y'_1 x'_2, \dots, x'_1 y'_{n+1} - d_1 \cdots d_n y'_1 x'_{n+1}, \\ x'_2 y'_3 - d_2 y'_2 x'_3, \dots, x'_n y'_{n+1} - d_n y'_n x'_{n+1} \rangle \quad (8)$$

obtained by cancelling all  $d_i$  factors occurring in the above  $2 \times 2$  minors. We obviously have  $\mathbf{K}^0|_{\mathbf{S}_d^0} = \mathbf{K}_d^0$ .

We will show that  $\mathbf{K}^0$  is the scheme theoretic closure of  $\mathbf{K}_d^0$  in  $\mathbf{S}^0 \times \mathbf{P}^n \times \check{\mathbf{P}}^n$  (cf. 6.2).

## 5. A torus action

Notation as in (4), embed  $\mathbb{G}_m^{\times n}$  in  $\mathbf{GL}_{n+1}$  by sending  $c = (c_1, \dots, c_n) \in \mathbb{G}_m^{\times n}$  to  $c^{(1)} = \text{diag}(1, c_1, c_1 c_2, \dots)$ . We let  $\mathbb{G}_m^{\times n}$  act on  $\mathbf{S}^0$  by

$$c \cdot (v, b) = (c^{(1)} v (c^{(1)})^{-1}, (c_1^2 b_1, \dots, c_n^2 b_n)).$$

This action is compatible with the natural action of  $\mathbf{GL}_{n+1}$  on the space  $\mathbf{P}(S_2(k^{n+1*}))$  of quadrics, *i.e.*, for a symmetric matrix  $a(v, b) := v b^{(1)} v^t$  as above, we have

$$\begin{aligned} c^{(1)} \cdot a(v, b) &= c^{(1)} a(v, b) (c^{(1)})^t = c^{(1)} v b^{(1)} v^t (c^{(1)})^t \\ &= c^{(1)} v (c^{(1)})^{-1} c^{(1)} b^{(1)} c^{(1)} ((c^{(1)})^t)^{-1} v^t (c^{(1)})^t \\ &= c^{(1)} v (c^{(1)})^{-1} (c^{(1)})^2 b^{(1)} ((c^{(1)})^t)^{-1} v^t (c^{(1)})^t \\ &= a(c \cdot (v, b)). \end{aligned}$$

It can be also easily checked that  $\mathbb{G}_m^{\times n}$  acts compatibly on  $\mathbf{S}^0 \times \mathbf{P}^n \times \check{\mathbf{P}}^n$  and  $\mathbf{K}^0$  is invariant. Indeed, let  $((v, b), x, y) \in \mathbf{K}^0$ . Pick  $c \in \mathbb{G}_m^{\times n}$ . We have

$$c \cdot ((v, b), x, y) = ((c^{(1)} v (c^{(1)})^{-1}, (c_1^2 b_1, \dots, c_n^2 b_n)), x (c^{(1)})^{-1}, y (c^{(1)})^t).$$

Now  $x' = xv$  changes to

$$x'' = (x (c^{(1)})^{-1}) (c^{(1)} v (c^{(1)})^{-1}) = x v (c^{(1)})^{-1} = x' (c^{(1)})^{-1}$$



so that the first row  $x' b^{(1)}$  in (6) (evaluated at  $((v, b), x, y)$ ) changes to

$$x'' (b^{(1)} (c^{(1)})^2) = x' (c^{(1)})^{-1} (b^{(1)} (c^{(1)})^2) = x' (b^{(1)} c^{(1)}).$$

Similarly,  $y' = y (v^{-1})^t$  changes to

$$y'' = (y (c^{(1)})^t) ((c^{(1)} v (c^{(1)})^{-1})^{-1})^t = y (v^{-1})^t (c^{(1)})^t = y' c^{(1)}.$$

Therefore (6) changes to the matrix with rows  $x' (b^{(1)} c^{(1)})$  and  $y' c^{(1)}$ . Thus evaluation of (8) at  $c \cdot ((v, b), x, y)$  and at  $((v, b), x, y)$  differ only by nonzero multiples.

**5.1 Lemma.** *The orbit of  $(I, 0) \in \mathbf{S}^0$  is the unique closed orbit where  $I$  is the identity matrix.*

**Proof.** Conjugation of  $v \in \mathbf{U}_n$  by the diagonal matrix  $c^{(1)}$  replaces each entry  $v_{ij}$ ,  $j < i$  by

$$\begin{aligned} (c^{(1)} v (c^{(1)})^{-1})_{ij} &= c_{ii}^{(1)} (v (c^{(1)})^{-1})_{ij} = c_{ii}^{(1)} v_{ij} ((c^{(1)})^{-1})_{jj} \\ &= v_{ij} c_{ii}^{(1)} / c_{jj}^{(1)} = v_{ij} c_{i-1} \cdots c_j. \end{aligned}$$

Thus, letting  $c \rightarrow 0$ , we see that  $(I, 0)$  is in the orbit closure  $\overline{\mathbb{G}_m^{\times n} \cdot (v, b)}$ . □

## 6. Proof of the theorem

**6.1 Lemma.** *Notation as in (7), the family  $\mathbf{K}^0 \rightarrow \mathbf{S}^0$  is flat.*

**Proof.** Since  $\mathbf{K}^0 \rightarrow \mathbf{S}^0$  is equivariant for the  $\mathbb{G}_m^{\times n}$ -action, it suffices to check that the Hilbert polynomial of the fiber over the representative  $(I, 0)$  of the unique closed orbit is right, i.e., coincides with the generic one (cf. Hartshorne [H], thm. 9.9, p.261). Evaluating (8) at  $(I, 0)$  yields the monomial ideal in 2.1. We are done by virtue of 1.1. □

**6.2 Lemma.** *Notation as in (7) and (5), we have that  $\mathbf{K}^0$  is equal to the scheme theoretic closure of  $\mathbf{K}_d^0$ .*

**Proof.** In view of 6.1, we may apply to  $\mathbf{K}^0 \rightarrow \mathbf{S}^0 \supset \mathbf{S}_d^0$  the general observation that the formation of scheme theoretic closure commutes with flat base change (cf. [EGA], (11.10.5), p. 171, [EGA-I], p. 325). □

**6.3 Lemma.** *Let  $G$  be an algebraic group and let*

$$\begin{array}{ccc} X^0 & \subset & X \\ \downarrow & & \downarrow \\ Y^0 & \subset & Y \end{array}$$

*be a commutative diagram of maps of  $G$ -varieties. Let  $\overline{X}, \overline{Y}$  denote the closures of  $X^0, Y^0$ . If  $\overline{X} \rightarrow \overline{Y}$  is flat over a neighborhood of a point in each closed orbit then  $\overline{X} \rightarrow \overline{Y}$  is flat.*

**Proof.** Immediate.  $\square$

We may now finish the proof of the theorem. Let  $\mathbf{K} \subset \mathbf{S} \times \mathbf{P}^n \times \check{\mathbf{P}}^n$  be the scheme theoretic closure of  $\mathbf{K}^0$ . We have  $\mathbf{K} \cap (\mathbf{S}^0 \times \mathbf{P}^n \times \check{\mathbf{P}}^n) = \mathbf{K}^0$  flat over  $\mathbf{S}^0$  by 6.1. The latter is a neighborhood of a point in the unique closed orbit of  $\mathbf{S}$ . Now apply the previous lemma to  $G = \mathbf{GL}_{n+1}$ ,  $X = \mathbf{S} \times \mathbf{P}^n \times \check{\mathbf{P}}^n$ ,  $Y = \mathbf{S}$ ,  $Y^0 = \mathbf{S}^{nd}$ ,  $X^0 = \mathbf{K}^{nd}$ . Finally, since the family of tangent flags is defined by the fiber square,

$$\begin{array}{ccc} \tilde{\mathbf{K}} & \longrightarrow & \mathbf{F}_n \times \mathbf{S} \\ \downarrow & & \downarrow \\ \mathbf{K} & \longrightarrow & \mathbf{F}_{0,n-1} \times \mathbf{S} \end{array}$$

the composition  $\tilde{\mathbf{K}} \rightarrow \mathbf{K} \rightarrow \mathbf{S}$  is flat.

## 7. Final remarks and proof of the proposition

**7.1.** (i) The primary decomposition of the monomial ideal in 2.1 can be checked to be given by

$$\begin{aligned} &\langle x_1, x_2, \dots, x_n \rangle \cap \dots \cap \langle x_1, \dots, x_i, y_{i+2}, \dots, y_{n+1} \rangle \cap \dots \\ &\dots \cap \langle y_2, y_3, \dots, y_{n+1} \rangle. \end{aligned}$$

Thus enlarging it to include the nonzero divisor  $x \cdot y$  we see that the special fiber  $\Gamma_0$  presents no embedded component.

(ii) In the situation of 6.3, let  $X \rightarrow Y = \mathbf{P}^n$  be the blowup of a point, acted on by the stabilizer of that point. Of course flatness fails over any neighborhood of the unique closed orbit. This might clarify why we had to show first that  $\mathbf{K}^0 \rightarrow \mathbf{S}^0$  is flat, instead of trying to show directly that the closure of  $\mathbf{K}^{nd}$  is flat over  $\mathbf{S}^{nd}$ .

(iii) For  $n = 2$  we may write the following global equations for  $\mathbf{K}$ . Let  $z, w$  be a pair of symmetric  $3 \times 3$  matrices of independent indeterminates. Then  $\mathbf{K} \subset \mathbf{P}^5 \times \check{\mathbf{P}}^5 \times \mathbf{P}^2 \times \check{\mathbf{P}}^2$  is given by the  $2 \times 2$  minors of the  $2 \times 3$  matrices with rows  $x \cdot z, y$  and  $x, y \cdot w$ , in addition to the incidence relation  $x \cdot y$  together with the equation  $3z \cdot w = \text{trace}(z \cdot w)I$  for  $\mathbf{S} \subset \mathbf{P}^5 \times \check{\mathbf{P}}^5$ . Indeed, the equations for  $\mathbf{S}$  are right because they are invariant, they are satisfied for  $z = I, w = I$  hence on the open orbit of  $\mathbf{S}$ , therefore on all of  $\mathbf{S}$ . Moreover, the solutions with  $z = \text{diag}(1, 1, 0)$  and  $z = \text{diag}(1, 0, 0)$  also lie in  $\mathbf{S}$ . Letting  $\mathbf{K}'$  be the subscheme defined by those equations, one checks at once that the fiber  $\mathbf{K}'_{(I, I)}$  over the representative of the open orbit of  $\mathbf{S}$  is equal to the graph of the Gauss map. The fiber over the representative of the closed orbit, given by  $z = \text{diag}(1, 0, 0)$ ,  $w = \text{diag}(0, 0, 1)$ , is cut out in  $\mathbf{P}^2 \times \check{\mathbf{P}}^2$  by  $x \cdot y$  in addition to the  $2 \times 2$  minors of the matrices

$$\begin{pmatrix} x_1 & 0 & 0 \\ y_1 & y_2 & y_3 \end{pmatrix}, \quad \begin{pmatrix} x_1 & x_2 & x_3 \\ 0 & 0 & y_3 \end{pmatrix}.$$

The ideal is precisely the one described in 2.1. It would be nice to give a similar description for higher dimension.

(iv) Still assuming  $n = 2$ , put

$$\Gamma = \{(P, \ell, \kappa, \kappa') \in \mathbf{P}^2 \times \check{\mathbf{P}}^2 \times \mathbf{P}^5 \times \check{\mathbf{P}}^5 \mid P \in \kappa \cap \ell, \ell \in \kappa'\}.$$

It is easy to check that  $\Gamma|_{\mathbf{S}} = \mathbf{K}$  as sets. Furthermore,  $\Gamma$  may be endowed with a natural scheme structure such that  $\Gamma \rightarrow \mathbf{P}^5 \times \check{\mathbf{P}}^5$  is flat and with Hilbert polynomial of its fibers equal to  $4t$  (cf. (9) below). Thus,  $\Gamma|_{\mathbf{S}} \rightarrow \mathbf{S}$  is a family of double structures of genus one on the fibers of  $\mathbf{K}$ . See in (7.4) below a similar discussion for  $n = 3$ .

We proceed to prove the proposition stated at the introduction.

Before considering the general case, we describe the situation in the projective plane. Thus, let

$$\mathbf{F}_2 \subset \mathbf{P}^2 \times \check{\mathbf{P}}^2$$

be the incidence correspondence “point  $\in$  line”. Let  $f_0$  (resp.  $f_1$ ) denote a curve in  $\mathbf{P}^2$  (resp.  $\check{\mathbf{P}}^2$ ). Set

$$\Gamma_f := (f_0 \times f_1) \cap \mathbf{F}_2.$$

Then  $\Gamma_f$  is easily seen to be regularly embedded of codimension 2 in  $\mathbf{F}_2$  (cf. 7.2). Moreover, its Hilbert polynomial with respect to the ample sheaf  $\mathcal{O}_{\mathbf{P}^2}(1) \otimes \mathcal{O}_{\check{\mathbf{P}}^2}(1)$  restricted to  $\mathbf{F}_2$  depends only on the degrees, say  $d_0, d_1$  of  $f_0, f_1$ . In fact, the Koszul complex that resolves the ideal of  $f_0 \times f_1$  in  $\mathbf{P}^2 \times \check{\mathbf{P}}^2$  restricts to a resolution of  $\Gamma_f$  in  $\mathbf{F}_2$ . One finds the Hilbert polynomial,

$$\chi_{\underline{f}}(t) = (d_0 + d_1)t - d_0d_1(d_0 + d_1 - 4)/2. \quad (9)$$

Therefore, as in the final argument for the proof of 6.1, the parameter space of pairs  $(f_0, f_1)$ , call it  $\mathbf{T}$  ( $=\mathbf{P}^{n_0} \times \mathbf{P}^{n_1}$  for suitable  $n_0, n_1$ ), carries a flat family of curves on  $\mathbf{F}_2$ . Precisely, let

$$\mathbf{W}_0 \subset \mathbf{P}^2 \times \mathbf{P}^{n_0} \text{ and } \mathbf{W}_1 \subset \check{\mathbf{P}}^2 \times \mathbf{P}^{n_1}$$

denote the total spaces of the universal plane curve parametrized by  $\mathbf{P}^{n_i}$ . Then

$$\Gamma := (\mathbf{W}_0 \times \mathbf{W}_1) \times_{\mathbf{P}^2 \times \mathbf{P}^2 \times \mathbf{T}} (\mathbf{F}_2 \times \mathbf{T}) \longrightarrow \mathbf{T}$$

is a flat family of curves in  $\mathbf{F}_2$ , with fiber  $\Gamma_{\underline{f}}$ .

Recall that the dimension of the variety of complete flags  $\mathbf{F}_n \subset \prod \mathbf{G}_{i,n}$  is

$$\dim \mathbf{F}_n = 1 + \cdots + n.$$

The proposition is an easy consequence of the following.

**7.2 Lemma.** *Let  $f_0, f_1, \dots, f_n$  be arbitrary hypersurfaces of points, lines,  $\dots$ , hyperplanes in the appropriate grassmannians of subspaces of  $\mathbf{P}^{n+1}$ . Then the intersection*

$$\Gamma_{\underline{f}} := (f_0 \times \cdots \times f_n) \cap \mathbf{F}_{n+1}$$

*is of codimension  $n+1$  in  $\mathbf{F}_{n+1}$ .*

**Proof.** We shall argue by induction on  $n$ .

We may assume all  $f_i$  irreducible. For, if  $f_0 = f_{0,1} \cup f_{0,2}$ , say, we have  $\Gamma_{\underline{f}} := (f_{0,1} \times \cdots \times f_n) \cap \mathbf{F}_{n+1} \cup (f_{0,2} \times \cdots \times f_n) \cap \mathbf{F}_{n+1}$ .

Let  $n = 1$ . Pick a line  $h \in f_1$ . Set

$$h^{(0)} = \{P \in \mathbf{P}^2 \mid P \in h\}.$$

The fiber  $(\Gamma_{\underline{f}})_h \simeq h^{(0)} \cap f_0$  is zero dimensional unless  $h^{(0)} = f_0$ . This occurs for at most one  $h \in f_1$ , hence  $\Gamma_{\underline{f}}$  is 1-dimensional (otherwise most of its fibers over  $f_1$  would be at least 1-dimensional).

For the inductive step, we set for  $h \in \check{\mathbf{P}}^{n+1}$ ,

$$h^{(r)} = \{g \in \mathbf{G}_{r,n+1} \mid g \subseteq h\} \simeq \mathbf{G}_{r,n}. \quad (10)$$

If the intersection

$$f'_r = h^{(r)} \cap f_r$$

were proper for all  $r$  and  $h \in f_n$  then we would be done by induction. Indeed, we have

$$(\Gamma_{\underline{f}})_h \simeq (f'_0 \times \cdots \times f'_{n-1}) \cap \mathbf{F}_n.$$

By the induction hypothesis, this is of the right dimension

$$1 + \cdots + n - n = 1 + \cdots + (n - 1).$$

Since  $h$  varies in the  $n$ -dimensional hypersurface  $f_n$  of  $\mathbf{G}_{n,n+1} = \check{\mathbf{P}}^{n+1}$ , we would have

$$\dim \Gamma_{\underline{f}} = (1 + \cdots + (n - 1)) + n = (1 + \cdots + (n + 1)) - (n + 1)$$

as desired.

However, just as in the case  $n = 1$ , it may well happen that the intersection  $h^{(r)} \cap f_r$  be *not* proper for some  $h, r$ . Thus it remains to be shown that, whenever  $\dim (\Gamma_{\underline{f}})_h$  exceeds the right dimension, say by  $\delta$ , the hyperplane  $h$  is restricted to vary in a locus of codimension at least  $\delta$  in  $f_n$ . This is taken care of in (7.3) below.

Consider the stratification of  $f_n$  by the condition of improper inter-

section of  $f_r$  with  $h^{(r)}$ , namely,

$$\begin{aligned} f_{n,0} &= \{h \in f_n \mid h^{(0)} \subseteq f_0\}, \\ f_{n,1} &= \{h \in f_n \mid h^{(1)} \subseteq f_1\} \setminus f_{n,0}, \\ &\vdots \\ f_{n,n} &= \{h \in f_n \mid h^{(n)} \subseteq f_n\} \setminus \bigcup_{j < n} f_{n,j}. \end{aligned}$$

We will be done if we show

$$\dim(\Gamma_{\underline{f}})_h \leq 1 + \cdots + n - r \quad \forall h \in f_{n,r}.$$

We have already seen that  $\dim(\Gamma_{\underline{f}})_h = 1 + \cdots + n - 1$  for  $h$  in  $f_{n,n}$ . Also, for  $r = 0$ , the desired estimate holds because we have  $(\Gamma_{\underline{f}})_h \subseteq (\mathbf{F}_{n+1})_h \simeq \mathbf{F}_n$  and  $\dim \mathbf{F}_n = 1 + \cdots + n$ . Let  $r > 0$  and pick a hyperplane  $h \in f_{n,r}$ . Then the intersections,

$$f'_i = h^{(i)} \cap f_i,$$

are proper for  $i = 0, \dots, r-1$ , whereas for the subsequent index, we have

$$h^{(r)} \cap f_r = h^{(r)} \simeq \mathbf{G}_{r,n}.$$

Thus, we may write,

$$(\Gamma_{\underline{f}})_h \hookrightarrow (f'_0 \times \cdots \times f'_{r-1} \times \mathbf{G}_{r,n} \times \cdots \times \mathbf{G}_{n-1,n}) \cap \mathbf{F}_n.$$

By the induction hypothesis the intersection above is of dimension  $\dim \mathbf{F}_n - r$  in view of the following easy

**Remark.** *The validity of 7.2 for a given  $n$  implies properness of the “partial” intersection*

$$(f_0 \times \cdots \times \mathbf{G}_{r,n+1} \times \cdots \times f_n) \cap \mathbf{F}_{n+1},$$

where one (or more) of the hypersurfaces  $f_r \subset \mathbf{G}_{r,n+1}$  is replaced by the corresponding full grassmannian.  $\square$

**7.3 Lemma.** *Notation as in (10), for  $r = 0, \dots, n$  we have*

$$\dim \{h \in \check{\mathbf{P}}^{n+1} \mid h^{(r)} \subseteq f_r\} \leq r.$$

**Proof.** Let  $\mathbf{F}_{r,n} \subset \check{\mathbf{P}}^{n+1} \times \mathbf{G}_{r,n+1}$  be the partial flag variety. Form the diagram with natural projections,

$$\begin{array}{ccc} & \mathbf{F}_{r,n} & \\ \pi_n \swarrow & & \searrow \pi_r \\ \check{\mathbf{P}}^{n+1} & & \mathbf{G}_{r,n+1} \end{array}$$

For  $g_r \in \mathbf{G}_{r,n+1}$ , set

$$g_r^{(n)} = \{h \in \check{\mathbf{P}}^{n+1} \mid g_r \subseteq h\}.$$

We have  $g_r^{(n)} \simeq \mathbf{P}^{n-r}$  whence it hits any subvariety of  $\check{\mathbf{P}}^{n+1}$  of dimension  $\geq r+1$ . In other words, for any subvariety  $\mathbf{Z} \subseteq \check{\mathbf{P}}^{n+1}$  such that  $\dim \mathbf{Z} \geq r+1$ , we have

$$\begin{aligned} \pi_r \pi_n^{-1} \mathbf{Z} &= \{g_r \mid \exists h \in \mathbf{Z} \text{ s.t. } h \supseteq g_r\} \\ &= \{g_r \mid g_r^{(n)} \cap \mathbf{Z} \neq \emptyset\} = \mathbf{G}_{r,n+1}. \end{aligned}$$

The lemma follows by taking  $\mathbf{Z} = \{h \in \check{\mathbf{P}}^{n+1} \mid h^{(r)} \subseteq f_r\}$ . Indeed, if  $\dim \mathbf{Z} \geq r+1$ , then for all  $g_r \in \mathbf{G}_{r,n+1}$  there exists  $h \in \mathbf{Z}$  s.t.  $h \supseteq g_r$ , so  $g_r \in h^{(r)} \subseteq f_r$ , contradicting that  $f_r$  is a hypersurface of  $\mathbf{G}_{r,n+1}$ .  $\square$

**7.4 Remark.** Let  $(f_0, f_1, f_2)$  represent a nondegenerate, complete quadric surface  $\kappa$ . Thus,  $f_0 \subset \mathbf{P}^3$  is a smooth quadric surface,  $f_1 \subset \mathbf{G}_{1,3}$  is the hypersurface parametrizing the family of lines tangent to  $f_0$  and  $f_2 \subset \check{\mathbf{P}}^3$  is the dual quadric. We have that

$$(f_0 \times f_1 \times f_2) \bigcap \mathbf{F}_3 \subset \mathbf{P}^3 \times \mathbf{G}_{1,3} \times \check{\mathbf{P}}^3$$

contains an extra component in addition to the fiber of  $\mathbf{K}$  over  $\kappa$ . In fact, it contains

$$\{(P, \ell, \pi) \in (f_0 \times f_1 \times f_2) \mid P \in \ell \subset f_0 \cap \pi\}$$

which is of dimension 3 ( $= 2$  for the choice of  $P \in \ell \subset f_0$  plus 1 for the pencil of planes containing  $\ell$ ). The point is that a plane  $\pi$  containing a ruling  $\ell$  through a point  $P$  need not be tangent to  $f_0$  at  $P$ , so that  $(P, \ell, \pi)$  need not to belong to the tangent flag.

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